

## **Student Complaints Policy**

## Section 1 - Purpose

(1) The Student Complaints Policy provides a framework for fair and equitable processes which enable student and prospective student concerns to be addressed as quickly as possible and at a level as close to the source of complaint as possible.

## Section 2 - Scope

(2) This Policy will apply to the management of complaints arising between parties including:

- a. student: student;
- b. student: member of University staff;
- c. student: external agencies and their staff provided that the student has exhausted avenues of resolution under any applicable complaint handling procedure of the external agency;
- d. prospective student: member of University staff;
- e. prospective student: external agency, provided that the prospective student has exhausted avenues of resolution with that agency.
- (3) This Policy excludes:
  - a. disclosures and formal reports of sexual assault and sexual harassment which will be be managed under the <u>Student Sexual Misconduct Prevention and Response Policy</u>;
  - b. the review of decisions made by a member of University staff under any Regulation or policy that has an avenue of review and appeal under the <u>Student Appeals Policy</u>;
  - c. any matter that is currently being, or has in the past been dealt with under the <u>Student Appeals Policy</u>.

## **Section 3 - Principles**

(4) All actions under this Policy are to be based on values that are consistent with the <u>ACU Mission, Identity and Values</u> and are to be underpinned by principles of mutual respect and procedural fairness for and by all students, staff and others who may be involved.

(5) There will be no fee to lodge a complaint for any stage of complaint resolution.

(6) There are policies and processes that deliver timely resolution of formal complaints and appeals against academic and administrative decisions without charge or at reasonable cost to students, and these are applied consistently, fairly and without reprisal. (7) All parties to a complaint have the right to be:

- a. heard;
- b. treated fairly without bias or pre-judgement;
- c. informed of any complaint made which relates to them;
- d. provided with an opportunity to respond to any complaint which relates to them;
- e. informed about the status of any complaint which has been formally raised and to which they are a party, or in which they are named.

(8) A person handling a complaint will deal with the matter as expeditiously as possible following receipt of all relevant material from the complainant.

(9) A person handling a complaint will maintain appropriate confidentiality.

(10) The University will take all reasonable steps to prevent complainants suffering any disadvantage (including through victimisation) as a result of lodging a complaint.

(11) The University will monitor complaints and take action required to address any underlying causes.

## **Section 4 - Definitions**

(12) Terms used in this Policy and associated <u>Student and Public Complaints Procedure</u> are consistent with the <u>Glossary of Student and Course Terms</u>. In the context of this Policy the following terms also apply:

Term	Definition	
Appropriate confidentiality	Appropriate confidentiality refers to situations when a senior officer of the University may disclose to another person as much information as is necessary for the explicit purposes of clarification or assistance to enable the complaints process to be facilitated.	
Complainant	Complainant means the student or prospective student who has initiated the complaint.	
Complaint	Complaint means a statement or expression that something is unsatisfactory or unacceptable.	
Complaint handler	Complaint handler means the University staff member with responsibility for handling the complaint.	
External agency	External agency means any third-party organisation at which professional experience, legitimate activity or service is offered or organised by the University.	
Respondent	Respondent means a person or organisational unit against whom a complaint has been raised under this Policy.	
Unreasonable complainant conduct	Unreasonable complainant conduct is any behaviour by a complainant which, because of its nature or frequency raises substantial health, safety, resource or equity issues for the University, staff, other student, or the complainant themselves.	

## **Section 5 - Formal Communications**

(13) The timelines and associated provisions relating to formal communications contained in the <u>Academic</u> <u>Regulations</u> will apply to communications under this Policy.

# **Section 6 - Grounds for Complaint**

(14) A complaint may be lodged in relation to administrative and/or academic issues. Grounds for complaint include, but are not limited to:

- a. conduct of staff;
- b. conduct of students;
- c. conduct of an external agency cooperating with the University in its professional experience or community engagement placements where the student has been unable to resolve their complaint through the agency's complaint handling procedure;
- conduct of an external agency authorised by the University as authorised education agents assisting international applicants applying to study at ACU where the student has been unable to resolve their complaint through the agency's complaint handling procedure;
- e. provision of services, including campus services, IT services and student services; or
- f. course and teaching quality.

# Section 7 - Stages of Complaint Resolution

(15) A complaint may comprise the following stages:

- a. Informal complaint resolution conducted in accordance with Section 4 of the <u>Student and Public Complaints</u> <u>Procedure</u>;
- b. Formal complaint resolution conducted in accordance with Section 8 of the <u>Student and Public Complaints</u> <u>Procedure</u>; and
- c. Review of complaint outcome conducted in accordance with Section 9 of the <u>Student and Public Complaints</u> <u>Procedure</u>.

## **Section 8 - Support During the Complaint Process**

(16) A student may seek confidential, independent advice from the <u>Student Advocacy Service</u> at any stage of a complaint.

(17) In any discussions or interviews in which a complainant participates during any complaint process under this Policy, whether as a complainant or respondent, the complainant may, at their discretion, be accompanied by one other person whom the complainant designates as their support person.

(18) A support person may not be a person:

- a. with a qualification in law; or
- b. who was involved in, associated with, or alleged to have been involved in or associated with the subject matter of the complaint.

(19) Aboriginal and/or Torres Strait Islander students may:

- a. designate an Aboriginal and/or Torres Strait Islander person as their support person, other than a person with a qualification in law; and/or
- b. seek cultural support of Aboriginal and/or Torres Strait Islander staff through ACU's Indigenous Higher Education Units.

(20) A support person may speak where required for reasons of clarification, but may only make submissions on behalf of a complainant if invited to do so by the person dealing with the matter.

## **Section 9 - Withdrawal of Complaint**

(21) At any time during the process a complainant may withdraw a complaint, by notice in writing to the complaint handler where known, or to the Academic Registrar by email <u>Student.Complaints@acu.edu.au</u>.

(22) Upon such withdrawal, consideration of the complaint will normally be discontinued.

(23) The University reserves the right to further investigate matters on its own initiative based on information that has been provided as part of a withdrawn complaint, or based on information that the University has subsequently acquired that is relevant to the withdrawn complaint.

## Section 10 - Unreasonable Complainant Conduct

(24) It is expected that a complainant will comply with all aspects of the complaints process in a reasonable manner. The University will take action to restrict access to the student complaint process where it is determined that the behaviour of a complainant is having a disproportionate and unreasonable impact on the University, its staff, services, time and/or resources through any of the following:

- a. unreasonable persistence that is continued, incessant and unrelenting including where the substance of the complaint has already been considered by the University and satisfactory measures have been taken to resolve the matter;
- b. unreasonable demands (express or implied);
- c. unreasonable lack of cooperation including submission of documents that are excessive in volume, unstructured, repetitive or irrelevant to the complaint, or withholding information, or misrepresenting others;
- d. unreasonable arguments that are not based in reason or logic, that are incomprehensible, false or inflammatory, trivial or delirious;
- e. unreasonable behaviours that compromise the health, safety and security of staff, other service users or the complainant themselves.

(25) In any case in which the behaviour of a complainant is found to constitute unreasonable complainant conduct, as defined in clause (24) of this Policy, action may be taken by the University to:

- a. change or restrict access to the student complaint processes; and/or
- b. refer the matter to be dealt with under the <u>Student Conduct Policy</u>.

## Section 11 - Other Avenues of Feedback or Complaint

(26) A student may elect to provide feedback through the online feedback form where they are not seeking a formal resolution, but would like to submit feedback about their experience at, or of, ACU, the University's operations or to make suggestions for improvement.

(27) A student may make a disclosure or formal report of sexual assault or sexual harassment in accordance with the <u>Student Sexual Misconduct Prevention and Response Policy</u>. (28) While complainants are encouraged to resolve any concerns or complaints they may have, nothing in this Policy detracts from the right of a student or prospective student to access any other appeal or complaint mechanism available to them as legislated in the relevant State or Territory. If any external process is initiated, the internal complaint process will be terminated.

(29) Following exhaustion of the internal complaint processes, in the case of matters for which there is no external avenue of appeal, or complaint mechanism available, a student can request an independent review in accordance with the <u>Independent Review of Appeals or Complaints Policy</u>.

## Section 12 - Revisions Made to This Policy

(30) The revision table includes revisions up until this document was migrated into the current policy platform. Any later changes will show in the Status and Details tab.

Date	Major, Minor or Editorial	Description of Revision(s)
30 November 2016	Minor	Higher Education Standards Framework (Threshold Standards) 2015 compliance review amendments.
24 February 2017	Minor	Amendment to section 3.2 to add that complaints will be considered at any time on their merit.
28 November 2018	Major	Reviewed according to the Academic Board Policy Development and Review Schedule.
8 May 2019	Minor	Amendment to section 8.0 of the Procedures so that complaint can be referred to another officer where designated complaint handler has been involved.
1 January 2020	Minor	Editorial change to Section 9.3 in line with the Independent Review of Student Appeals or Complaints Policy.
7 July 2021	Minor	Consequential amendments to Procedures as a result of the Student Sexual Misconduct Prevention and Response Policy review.
16 November 2022	Major	Amendments arising from the cyclical review under the Policy Development and Review Policy.
15 August 2023	Minor	Principles amended to include that there is no fee to lodge a complaint.
27 March 2024	Minor	Changes to the Procedure reflect where administrative processes are undertaken by the appeals, complaints and conduct team in the Office of the Academic Registrar rather than by the Academic Registrar.

## **Section 13 - Associated Information**

(31) For related legislation, policies, procedures and guidelines and any supporting resources please refer to the Associated Information tab.

#### **Status and Details**

Status	Historic
Effective Date	19th December 2023
Review Date	1st January 2026
Approval Authority	
Approval Date	19th December 2023
Expiry Date	6th March 2025
Responsible Executive	Cheryl Fullwood Academic Registrar
Responsible Manager	Gerard Goodwin-Moore National Manager, Student Policy and Appeals
Enquiries Contact	Kerry Blair Policy and Projects Officer
	Student Administration