

# Student Academic Misconduct Procedure

## Section 1 - Governing Policy

(1) This Procedure is governed by the [Student Academic Integrity and Misconduct Policy](#).

## Section 2 - Responsibility for Dealing with Student Academic Misconduct

(2) The Academic Integrity Team based in the Centre for Education and Innovation will:

- a. implement academic integrity education initiatives for staff and students;
- b. provide academic misconduct case administration including correspondence, committee support and record keeping; and
- c. undertake the analysis and reporting of academic misconduct.

(3) Academic Integrity Officer (AIO)(s) will be appointed to investigate and determine allegations of academic misconduct. The AIO will be:

- a. appointed by the Director, Centre for Education and Innovation in consultation with the relevant Head of School, or equivalent;
- b. a dedicated member of academic staff with a commensurate workload allocation; and
- c. supported by the Academic Integrity Team.

(4) A Faculty Academic Misconduct Committee will be constituted to determine allegations of serious academic misconduct. The Committee:

- a. will be chaired by the Executive Dean, Deputy Dean or Associate Dean of the relevant Faculty;
- b. will include two other appropriately qualified persons selected by the Chair, at least one of which must be an academic staff member from the discipline;
- c. must exclude any person who has participated in the referral or investigation of the allegation of academic misconduct; and
- d. will be supported by the Academic Integrity Team

(5) A quorum of a Faculty Academic Misconduct Committee is all three members.

(6) The Academic Registrar will determine allegations of suspected fraudulent documentation submitted for the purpose of receiving an academic advantage under the [Dealing with Instances of Fraudulent Information Policy](#).

(7) Authority may be exercised by a person(s) designated by the relevant responsible officer to act on their behalf for the purpose of this Procedure.

## Section 3 - Procedural Fairness

(8) The University may use tools to assist in identifying cases of academic misconduct. Students will be notified in the unit outline or the learning management system where those tools will be used.

(9) In any discussions or interviews in which a student participates during any process under the [Student Academic Integrity and Misconduct Policy](#) or this Procedure, the student may be accompanied by one support person, other than a person with a qualification in law. A support person:

- a. may not be a person who was involved in, associated with, or alleged to have been involved in or associated with, the subject matter of the academic misconduct allegation; and
- b. may speak where required for reasons of clarification, but may only make submissions on behalf of the student if invited to do so by the responsible officer or committee dealing with the matter.

(10) When conducting any interview, the AIO should provide the student with the name and position of any accompanying staff member in accordance with clause (32).

(11) In any case in which a responsible officer has any verbal communication with a student regarding a matter arising under the [Student Academic Integrity and Misconduct Policy](#) and/or this Procedure, that officer will make a written note of such communication and retain it within a secure file.

(12) A student may seek confidential, independent advice from the Student Advocacy Service at any stage.

(13) Unless there is an existing legal instrument in place, a student may not authorise a third party to act on their behalf where the decision maker is of the view that the matter cannot be investigated and determined without the direct involvement of the student concerned.

(14) Where a student provides evidence that they do not have the capacity to participate and the decision maker is unable to proceed under clause (13), proceedings under this Policy will be suspended and the matter will be:

- a. deferred until the student has the capacity to participate with the timelines for investigation and determination adjusted accordingly; or
- b. referred to be managed under the [Fitness to Study Policy](#).

## Section 4 - Notification of Suspected Academic Misconduct

(15) Where any member of staff, other than a Lecturer in Charge, detects or is made aware of possible academic misconduct, the staff member or the Coordinator, Examinations and Results will report the matter to the Lecturer in Charge of the unit.

(16) Any member of staff who becomes aware of suspected fraudulent information submitted by a student for the purpose of receiving an academic advantage must bring the matter to the attention of the relevant Senior Officer in the [Dealing with Instances of Fraudulent Information Policy](#).

(17) Where a Lecturer in Charge has received a report of academic misconduct from an anonymous or external party, the Lecturer in Charge must report the matter to the Academic Integrity Team.

(18) The preliminary analysis and decision to refer an anonymous and/or external report of academic misconduct for investigation will be made by the Academic Integrity Team in consultation with the Lecturer in Charge. A report will

normally only be referred where sufficient information from the anonymous or external party has been provided which would allow an investigation to proceed.

## **Section 5 - Preliminary Analysis of Suspected Academic Misconduct**

(19) Where a Lecturer in Charge detects or is made aware of possible academic misconduct, they will undertake a preliminary analysis by:

- a. reviewing the examination paper or work submitted by the student for assessment;
- b. reviewing any report including from any software or tools used for the detection of breaches;
- c. reviewing the assessment requirements as specified in the Unit Outline; and
- d. seeking informal clarification from the student where it is necessary to further understand the matter.

(20) Following the preliminary analysis, the Lecturer in Charge will determine whether:

- a. they are satisfied that the student did not act inappropriately or dishonestly and the matter should not proceed to investigation; or
- b. the matter appears to meet the criteria for a determination of poor academic practice under section 8 of this Procedure; or
- c. there appears to be sufficient evidence to refer the matter for investigation under Section 6 of this Procedure.

(21) Where the Lecturer in Charge has determined the student did not act inappropriately or dishonestly, they should advise the staff member who detected the alleged academic misconduct, where relevant.

(22) Where the Lecturer in Charge has determined that an academic misconduct investigation should be referred for investigation, it must be referred to the Academic Integrity Team for assignment to an AIO within 5-working days of being made aware of the possible academic misconduct.

(23) The Lecturer in Charge must provide all relevant materials to the Academic Integrity Team, which includes:

- a. the examination paper or work submitted by the student for assessment;
- b. any evidence of the basis on which the allegation is based, for example:
  - i. the Examination Supervisor's report and any associated evidence; or
  - ii. reference to and preferably copies of other resources which are considered to have been plagiarised; (a printout from an internet site is appropriate, in case that site is subsequently changed); or
  - iii. evidence of collusion or recycling; or
  - iv. evidence from text matching software or other detection tools.
- c. any penalty recommendation for consideration.

(24) On receipt of the referral from the Lecturer in Charge, the Academic Integrity Team may undertake an assessment and recommend to an Academic Integrity Officer that the suspected academic misconduct meets the criteria for a poor academic practice determination under clauses (44) and (45).

(25) On receipt of the recommendation from any assessment by the Academic Integrity Team, the Academic Integrity Officer may decide that the student has engaged in poor academic practice consistent with clauses (44) and (45) and action should be taken by the Lecturer in Charge and the Academic Integrity Team under clause (47).

# Section 6 - Investigation of Suspected Academic Misconduct

(26) The Academic Integrity Officer will, within 5 working days of receiving the allegation initiate such investigations as considered appropriate.

(27) The Academic Integrity Team will confirm whether the student is subject to any concurrent investigation(s) and provide advice to the investigating AIO(s) where allegations are similar and may impact penalty decisions, including any requirements for academic skills development.

(28) The Academic Integrity Officer may either decide that:

- a. the evidence does not support the allegation; or
- b. the student has engaged in poor academic practice consistent with clauses (44) and (45); or
- c. there is sufficient evidence to proceed as an allegation of academic misconduct.

(29) Where the Academic Integrity Officer has decided that no further action will be taken under clause (28)a. the Academic Integrity Team will advise the Lecturer in Charge, the staff member who detected the alleged misconduct and other relevant officers.

(30) Where the Academic Integrity Officer has decided that the student has engaged in poor academic practice under clause (28)b., action will be taken by the Lecturer in Charge and the Academic Integrity Team under clause (47).

(31) Where the Academic Integrity Officer has decided that the allegation has substance under clause (28)c., the Academic Integrity Team must notify the student in writing of the nature of the allegation/s and provide the student with:

- a. a copy of, or an opportunity to inspect, documentation relevant to the alleged academic misconduct;
- b. a link to the [Student Academic Integrity and Misconduct Policy](#) and this Procedure; and
- c. the opportunity to prepare and submit a written response which must be lodged within 10 working days of the notification of alleged academic misconduct.

(32) The Academic Integrity Team, on behalf of the Academic Integrity Officer will also:

- a. provide the student with the opportunity to request an interview to discuss the allegation; or
- b. request the student to attend an interview, giving at least five working days' written notice of the date, time and place of the interview, the name and position of any accompanying staff member and the option to be accompanied by a support person under clause (9); and
- c. where the Academic Integrity Team has reasonable grounds for believing that the student may be adversely affected by the receipt of an allegation notice, attempt to contact the student directly via telephone before the allegation notice is issued.

(33) Where an allegation of academic misconduct remains under investigation at the end of the relevant study period, the Academic Integrity Team will request that a Results Withheld (RW) grade be applied to the relevant unit by Examinations and Results.

# Section 7 - Determination of an Allegation of Academic Misconduct

(34) The Academic Integrity Team must obtain information about any previous educational advice for poor academic practice or occurrences of academic misconduct from the student's personal file or the central register of academic misconduct with the exception of records from units undertaken in a sub-bachelor program which have not been recognised for credit in any higher level award.

(35) The Academic Integrity Officer will notify the Academic Integrity Team of their decision under clause (36) within 10 working days from the receipt of a response from the student, or, if no response is received, the due date for a response from the student.

(36) The Academic Integrity Officer may take one or more of the following actions:

- a. dismiss the allegation; or
- b. dismiss the allegation but determine that the student has engaged in poor academic practice consistent with Section 8 of this Procedure; or
- c. make a determination of academic misconduct, consider the factors in clause (40) and apply any penalty that may be imposed under clause (18) of the [Student Academic Integrity and Misconduct Policy](#); or
- d. where it is judged that the penalty that may be imposed at clause (18) of the [Student Academic Integrity and Misconduct Policy](#) is insufficient, refer the matter to a Faculty Academic Misconduct Committee under clause (37).

(37) In the event of an allegation of academic misconduct being referred by an Academic Integrity Officer, to a Faculty Academic Misconduct Committee, the Committee will:

- a. undertake such further investigation of the case as is considered appropriate; and
- b. provide the student with the opportunity to provide additional information either in writing or in person, giving at least five working days' written notice of the date, time and place of the meeting and the option to be accompanied by a support person under clause (9).

(38) The Faculty Academic Misconduct Committee will notify the Academic Integrity Team of their decision under clause (39) within 10 working days from the receipt of any additional information from the student either in writing or in person, or, if no response is received, the due date for a response from the student.

(39) The Faculty Academic Misconduct Committee may take one or more of the following actions:

- a. dismiss the allegation; or
- b. dismiss the allegation but determine that the student has engaged in poor academic practice consistent with Section 8 of this Procedure; or
- c. make a determination of academic misconduct, consider the factors in clause (40) and apply any penalty that may be imposed under clause (19) of the [Student Academic Integrity and Misconduct Policy](#).

(40) When deciding a penalty to be imposed under clauses (36) and (39), consideration will be given to:

- a. the form of the academic misconduct as described in clause (16) of the [Student Academic Integrity and Misconduct Policy](#);
- b. the extent of the academic misconduct or the amount or proportion of assessment task or work that is not the student's own and the extent to which the assessment process is compromised;

- c. the experience of the student or the extent to which the student should be aware of appropriate behaviour and of the seriousness of their actions;
- d. any explanations and mitigating circumstances provided by the student;
- e. the number and type of any previous determination(s) of poor academic practice and/or academic misconduct obtained under clause (34); and
- f. whether the student has had a reasonable opportunity to improve following any previous determination of poor academic practice and/or academic misconduct.

(41) The Academic Integrity Team will notify the student of the decision of the Academic Integrity Officer or Faculty Academic Misconduct Committee.

(42) An Academic Integrity Officer or Faculty Academic Misconduct Committee may substitute another penalty where:

- a. a student fails to participate in any academic skills development which has been applied as a penalty; or
- b. new information has been provided or discovered that impacts the penalty consideration under clause (40); or
- c. a student's enrolment status in the program or unit prevents the application of the penalty.

(43) A student may not withdraw from a unit after census date to avoid an academic misconduct penalty which results in a fail grade for the unit. Where a student has withdrawn from the unit, their enrolment will be reinstated, and the penalty will be applied.

## Section 8 - Determination of Poor Academic Practice

(44) A student will only be eligible for a determination of poor academic practice where:

- a. there are no more than a total of two records relating to previous determinations of poor academic practice, or any finding of academic misconduct, in any previous study period with the exception of records from units undertaken in a sub-bachelor program which have not been recognised for credit in any higher level award; and either
- b. the student is enrolled in the first eight units of undergraduate or equivalent sub-bachelor study, or first four units of postgraduate study at ACU; and/or
- c. there was no significant academic advantage for the student or any other student.

(45) In addition to the requirements of clause (44), a student will be ineligible for a determination of poor academic practice where they have:

- a. engaged in contract cheating;
- b. offered or accepted a bribe;
- c. cheated in an examination;
- d. used, copied or shared another student's assessed work;
- e. inappropriately drawn from only one or two sources which comprises the majority of their work; or
- f. attempted to hide or disguise the poor academic practice

(46) Where a student has contravened the expected academic standards in accordance with clause (16) of the [Student Academic Integrity and Misconduct Policy](#), but is found to be ineligible for a determination of poor academic practice under clauses (44) and (45), the matter must proceed to an investigation of academic misconduct.

(47) Where a determination of poor academic practice has been made:

- a. any allegation of academic misconduct that was made under clause (31) will be dismissed; and
- b. the student's assessment task will be marked as submitted with any reduction in marks for the misunderstanding being justified against the marking rubric/guide; and
- c. the student will be directed to appropriate educational resources and may be required to undertake mandatory education to improve their academic skills.

(48) Where a student refuses to participate in any academic skills development required under clause (47)c., the matter may be penalised as Academic Misconduct under clause (36)c.

## **Section 9 - Notification and Recording of Decisions**

(49) Where a determination of poor academic practice is made, written educational advice should include advice that an investigation of further possible academic misconduct will take into consideration any previous educational advice. The educational advice letter should be:

- a. issued by the Academic Integrity Team;
- b. include details of any academic skills development required; and
- c. copied to the Lecturer in Charge and the Academic Integrity Officer, for information.

(50) Following determination of a case by an Academic Integrity Officer or Faculty Academic Misconduct Committee, the Academic Integrity Team will advise the student in writing of:

- a. the process undertaken during the investigation;
- b. the decision reached;
- c. the reasons for the decision; and
- d. the available avenues of appeal.

(51) A copy of the advice to the student will be provided to all relevant officers which may include all or a combination of the:

- a. Executive Dean; or
- b. Heads of School (or equivalent); and
- c. Lecturer in Charge; and
- d. Academic Integrity Officer; and
- e. staff member who detected the alleged academic misconduct.

(52) Where a determination of poor academic practice has been made, the Academic Integrity Team will upload the receipt of educational advice on the student file.

(53) In cases where there has been proven academic misconduct, the Academic Integrity Team will:

- a. upload the misconduct record on the student file; and
- b. record the misconduct and the penalty on ACU's academic misconduct register.

## **Section 10 - Associated Information**

(54) For related legislation, policies, procedures and guidelines and any supporting resources please refer to the Associated Information tab



## Status and Details

<b>Status</b>	Historic
<b>Effective Date</b>	19th December 2023
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<b>Responsible Executive</b>	Helen Murnane Academic Registrar
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